PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES LOMBARDI Q4.01 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2005/003264 04 FEB 04 (04.02.04) 03 FEB 05 (03.02.09)TITLE OF INVENTION LAPPING COMPOSITION AND METHOD USING SAME APPLICANT(S) FOR DO/EO/US LOMBARDI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. L An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICA	U1988	10391.5)	PCT/US2005/		LOMBARDI	
20. Other items or information:						
Submission of Incomplete Application						
The foll	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY
		national fee (37 CFR 1.492(a))				
کے	nination fee (37 Cl	s 200.00				
by IPEA/	nion prepared by IS 'US indicates all cl ns	,				
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 500.00	
TOTAL OF 21, 22 and 23 =					1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets					·
- 100 =	/50 =			x \$250	\$	i
_	-	•	fee, examination fee, or the (37 CFR 1.492(h)).	oath or declaration	\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	11	- 20 =		x \$ 50	\$	
Independent clair	ms 8	- 3 =	5	× \$200	\$ 1,000.00	
MULTIPLE DEPE	ENDENT CLAIM(S	i) (if applicable)		+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =					\$ 2,000.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				1,000.00		
SUBTOTAL =					\$ 1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$		
TOTAL NATIONAL FEE =				\$ 1,000.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
TOTAL FEES ENCLOSED =					\$ 1,000.00	
					Amount to be refunded:	\$
					Amount to be charged	\$

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a.	A check in the amount of \$ Please charge my Deposit Account No A duplicate copy of this sheet is enclosed.			over the above fees.	AUG 2006
c. X d. X	The Commissioner is hereby authorized to charge any Account No. 502262. A duplicate copy of this shapes are to be charged to a credit card. WARNING: In be included on this form. Provide credit card information.	neet is enclosed. Information on this f	orm may become pub		
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
Dale Law 423 Tucs Tele Facs	EL CORRESPONDENCE TO: e F. Regelman Office of Dale F. Regelman 1 S. Fremont Avenue son, AZ 85714 ephone no. (520) 741-7636 simile no. (520) 746-9114 il: dregelman@regelman.com		SIGNATURE Dale F. NAME 45,625 REGISTRATIO	. Regelman	

10/588039

Docket No.: LOMBARDI 04.01

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CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. EV 883269245 US
Date of Deposit August 1, 2006
I hereby certify that this paper and the Transmittal Letter to the United State
Designated/Elected Office, the papers listed thereon, Form PTO-2038, and return prepaid
postcard are being deposited with the United States Postal Service "Express Mail Post Office
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MAIL STOP PCT, Commissioner for Patents, P. O. Fox 1450, Alexandria, VA 22313-1450.
(Man A Cont
Signature of person mailing:
Name of person mailing: Reena Mendez

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. Of:

LOMBARDI

Intl. Appln. No.:

PCT/US2005/003264

Intl. Filing Date:

03 FEBRUARY 2005 (03.02.05)

Priority Date

Claimed:

04 FEBRUARY 2005 (04.02.04)

Serial Number:

UNASSIGNED

Filed:

01 AUGUST 2006 (01.08.06)

For:

LAPPING COMPOSITION AND METHOD USING SAME

Group:

UNASSIGNED

Examiner:

UNASSIGNED

DOCKET: LOMBARDI 04.01

MAIL STOP PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SUBMISSION OF INCOMPLETE APPLICATION

Dear Sir:

Submitted herewith is a transmittal letter and accompanying documents which are incomplete due to the omission of a Combined Declaration and Power of Attorney. The Applicant is John L. Lombardi, 831 N. Camino Miramonte, Tucson, Arizona 85716, an American citizen. Other details will be found on the transmittal letter.

Dale F. Regelman

Attorney for Applicant

submitted,

Reg. No. 45,625

'AP20 Rec's FC 17:10 01 AUG 2005

CERTIFICATE OF EXPRESS MAILING

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I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to: MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name of person mailing Reena Mendez

Signature of person mailing